





















No		Control Points	Compliance Criteria	Level	Complies			Documentation / Conformance
					Yes	No	N/A	
AU		Control points in this module are applicable to all registered units.						
AU.1		 Legality	Registered organizations and associated units must operate within the EU and in accordance with the applicable legislation. Registered organizations are legal entities within the post farm-gate cotton supply chain, from processing and trading to manufacturing and retailing.					
AU.1	1	Is there documentation available that clearly demonstrates the legal operation within the EU and the scope of activities?	The unit's administration manager must upload an operating license in force issued by a member state in accordance with EU and national legislation, or a valid site and scope specific ISO series certificate.	Knock-out				Upload Document
AU.1	2	Is there documentation available that clearly demonstrates the implementation of the required fire safety standards?	The unit's administration manager must upload a fire safety inspection certificate in force issued by a member state in accordance with EU and national legislation, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Knock-out				Upload Document
AU.1	3	Is there documentation available that clearly demonstrates the implementation of the required environmental standards?	The unit's administration manager must upload a valid environmental impact assessment approval issued by a member state in accordance with EU and national legislation, or a valid site specific ISO 14001:2015 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Knock-out				Upload Document
AU.1	4	Is there documentation available that clearly demonstrates the identification and assessment of potential risks related to the health and safety of employees and the implementation of the appropriate preventive measures?	The unit's administration manager must upload an updated employee's health and safety risk assessment signed by a competent member of the staff or an external certified service provider, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Knock-out				Upload Document



AU.2		 Management & Structure	Management is the process of planning and organising resources and activities, whereas structure determines roles and responsibilities.					
AU.2	1	Has the required contact information of the unit's administration, production and sales manager been recorded in the appropriate section of the platform?	The unit's administration manager must record the full name, email and work phone of individuals responsible for administration, production and sales management, in the contacts section of the unit's profile.	Knock-out				Link
AU.2	2	Is there documentation available that clearly demonstrates the appropriate structure for the implementation of the management system?	The administration manager has to upload the unit's organisational chart, in which key roles and reporting lines are demonstrated, signed by the organization's legal representative.	Major				Upload Document
AU.2	3	Is there documentation available that clearly demonstrates the sufficient human resources for the implementation of the management system?	The administration manager should upload the unit's list of personnel, in which duties and responsibilities are demonstrated, signed by the organization's legal representative.	Minor				Upload Document
AU.2	4	Is there documentation available that clearly demonstrates the appointment or the contractual agreement of the unit's certified safety technician?	If required by the applicable legal framework, the unit's administration manager must upload the appointment decision of an internal safety technician signed by the organization's legal representative, or a contract with an external safety technician signed by the contractor and the organization's legal representative.	Knock-out				Upload Document
AU.2	5	Is there documentation available that clearly demonstrates the contractual agreement of the organization's occupational physician?	If required by the applicable legal framework, the unit's administration manager must upload a contract with an occupational physician signed by the contractor and the organization's legal representative.	Knock-out				Upload Document
AU.2	6	Is there documentation available that clearly demonstrates the appointment of the unit's fire safety and first aid teams?	The administration manager has to upload the unit's fire safety and first aid team appointment decisions, signed by the organization's legal representative.	Major				Upload Document
AU.3		 Competency & Training	Competence is the ability to demonstrate use of education and expertise, whereas training is the process of increasing knowledge and skills.					

AU.4	3		Is there documentation available that clearly demonstrates the annual recording of energy consumption?	The unit's production manager should upload the annual energy consumption records, or a valid site specific ISO 50001:2018 certificate, or another site and scope specific valid certificate.	Minor				X	Upload Document
AU.4	4		Is there documentation available that clearly demonstrates the annual recording of waste disposal and recycling?	The unit's administration manager should upload the annual waste disposal and recycling records, or a valid site specific ISO 14001:2015 certificate, or another site and scope specific valid certificate.	Minor				X	Upload Document
AU.5			Ethical Trade	Ethical trade refers to the responsibility of organizations to improve working conditions and employment practices.						
AU.5	1		Is there documentation available that clearly demonstrates the commitment of the organization to implement ethical trading practices?	The unit's administration manager should upload the organization's Code of Conduct signed and dated by the legal representative, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Minor				X	Upload Document
AU.6			Social Accountability	Social accountability refers to the responsibility of organizations to act ethically and consider the impact of their actions on stakeholders, employees and the public.						
AU.6	1		Is there documentation available that clearly demonstrates the implemented measures for the improvement of the organization's social performance?	The unit's administration manager has to upload the organization's Social Accountability Policy signed and dated by the legal representative, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or a valid Social Accountability: SA8000 certificate, or another site and scope specific valid certificate.	Major				X	Upload Document
AU.7			Transparency	Transparency is the ability to demonstrate high level information to stakeholders and regulators throughout the supply chain.						

AU.7	1		Is there documentation available which clearly demonstrates the validity of transaction data recorded in the platform?	The unit's administration manager must upload at least three (3) transaction documents -purchase receipts or delivery notes or invoices- with reference to raw materials, suppliers, processing or manufacturing units and associated certifications.	Knock-out				Upload Document
AU.8			Traceability	The ability to track finished products back to trading, processing or manufacturing units and forward to immediate customers, with the aid of specific records.					
AU.8	1		Is there documentation available which clearly demonstrates the received, stored and sold volume of both EUCotton and non-EUCotton products?	The unit's administration manager must upload an annual mass balance exercise to demonstrate that outputs do not exceed inputs -according to rates of return in processing and manufacturing units- carried out per product and signed by the unit's production manager.	Knock-out				Upload Document
AU.9			Segregation	Segregation refers to the process of physically separating conforming from non-conforming products throughout the supply chain.					
AU.9	1		Is there documentation available which clearly demonstrates the segregation of EUCotton products from non-EUCotton products?	The unit's administration manager must upload images or videos of storage areas -raw materials and finished products- that demonstrate the segregation of EUCotton from non-EUCotton products in the media section of the unit's profile.	Knock-out				Link
AU.10			Subcontractors	Subcontractors are approved organizations for purposes of processing or manufacturing that have to register in the platform according to scope and conduct the required assessments and audits.					
AU.10	1		Has the required information of processing or manufacturing subcontractors been recorded in the appropriate section of the platform?	The unit's administration manager must record the company title, VAT number, email, work phone and scope in the subcontractors section of the unit's profile.	Knock-out				Link

AU.10	2		Is there documentation available that clearly demonstrates the contractual agreement between the subcontractor and the organization?	The unit's administration manager should upload a site specific contract between the subcontractor and the organization, signed by both parties.	Minor					Upload Document
AU.11			Assessments & Audits	Assessment refers to the organization's ability to implement the management system, while audit refers to the organization's conformance with specific rules.						
AU.11	1		Has at least one internal (or self) assessment of the unit been conducted annually by the administration manager?	The unit's administration manager must conduct and submit the annual internal assessment to a designated independent assessor, via the assessments section of the unit's profile.	Knock-out					Link
AU.11	2		Has at least one external remote assessment of the unit been conducted annually by an independent assessor, included in the certified assessors list of the platform?	The independent assessor must revise and approve or reject the unit's submitted internal assessment and, as a result, the unit's assessment status in the organization's business card is updated accordingly.	Knock-out					
AU.11	3		Has at least one on-site audit of the unit been conducted within a three (3) year cycle by a certification body's lead auditor, included in the certified auditors list of the platform?	Based on the lead auditor's report, the certification body must make a certification decision after closure of any outstanding non-conformances and, as a result, the unit's certification status in the organization's business card is updated accordingly.	Knock-out					
AU.11	4		Is there documentation available which clearly demonstrates that in case non-conformances are detected during on-site audit, the required corrective actions must be performed within the specified time period of twenty (20) calendar days?	The unit's administration manager must upload the corrective actions documentation in the media section of the unit's profile no later than 20 days after the end of the on-site audit.	Knock-out					Link

AU.12		 Trademark Use	<p>Only assessed registered organizations may use the EUCOTTON® trademark on their products. In order to use the trademark organizations must validate that: (a) the EUCotton content percentage in products made purely from cotton is 100% and (b) the EUCotton content percentage in products made from cotton and other materials is at least 50%. Purchase of EUCotton products (e.g. lint or yarn) does not automatically qualify the purchaser to use the EUCOTTON® trademark.</p>					
AU.12	1	<p>Is there documentation available which clearly demonstrates the proper use of the EUCOTTON® trademark according to general requirements?</p>	<p>The administration manager must upload in the media section of the unit's profile, images or videos of finished products on which the EUCOTTON® trademark, the lot number and relevant data are clearly demonstrated.</p>	Knock-out			X	Link

No		Control Points	Compliance Criteria	Level	Complies			Documentation/ Conformance
					Yes	No	N/A	
GU			Control points in this module are applicable to all registered ginning units.					
GU.1		 Suppliers	Suppliers of ginning units must be regional seed-cotton producers that conform with the regulations of the Common Agricultural Policy (CAP) of the European Union.					
GU.1	1	Is there documentation available which clearly demonstrates the contractual agreement between suppliers and the ginning unit?	The unit's administration manager must upload at least three (3) valid contracts, signed by the unit's suppliers and the organization's legal representative.	Knock-out				Upload Document
GU.1	2	Is there documentation available which clearly demonstrates the recording of traceability data for each received lot by the ginning unit?	The unit's administration manager has to upload at least three (3) distinct tables of mass incoming lots that demonstrate the recording of data, such as full name and identification number, receipt date, transaction document, lot number, quantity (kg), production area (ha), cultivated variety (EU catalogue), humidity (%), foreign matter (%) and associated certification.	Major				Upload Document
GU.1	3	Is there documentation available which clearly demonstrates the validity of suppliers' certificates against national standards and private protocols of Integrated Crop Management or Organic Farming?	The unit's administration manager must upload valid certificates and annexes that corroborate the certification status of suppliers.	Knock-out				Upload Document
GU.1	4	Is there documentation available which clearly demonstrates the proximity of seed-cotton production areas to the ginning unit?	The unit's administration manager should pinpoint on map the location of farms in the production area section of the unit's profile.	Minor				Link
GU.2		 Quality Assurance	Seed-cotton must be assessed, lint must be categorized and quality assurance processes must be implemented to increase the organization's reliability.					
GU.2	1	Is there documentation available which clearly demonstrates that seed-cotton received by non-certified suppliers has been assessed?	The unit's administration manager must upload specific information for an automatically calculated sample of non-certified suppliers (e.g. official documentation of cultivated area and production logbooks) in the suppliers section of the unit's profile.	Knock-out				Link

GU.2	2	Has the ginning unit performed the mandatory analyses to detect residue levels exceedance (MRL) of registered plant protection products in samples of seed-cotton collected from non-certified suppliers upon delivery?	The unit's production manager must perform the automatically calculated number of analyses in an accredited against ISO 17025: 2017 laboratory and upload the results in the suppliers section of the unit's profile. Sampling and analysis methods must be in accordance with the management system rules.	Knock-out				Link
GU.2	3	Has the ginning unit performed the mandatory analyses to confirm the absence of genetically modified organisms (GMO) in samples of seed-cotton collected from non-certified suppliers upon delivery?	The unit's production manager must perform the automatically calculated number of analyses in an accredited against ISO 17025: 2017 laboratory and upload the results in the suppliers section of the unit's profile. Sampling and analysis methods must be in accordance with the management system rules.	Knock-out				Link
GU.2	4	Has the ginning unit classified the produced lint into colour grade categories and subcategories?	The unit's production manager has to classify each lot of produced lint into a colour grade category (e.g. white or light spotted) and subcategory (e.g. good middling or strict middling) via the features section of events.	Major				Link
GU.2	5	Has the ginning unit attributed specific quality features to the produced lint?	The unit's production manager should attribute specific quality features to each lot of produced lint (e.g. micronair, strength, length etc.) via the features section of events.	Minor				Link