No			Control Points	Compliance Criteria	Level	Complies			Documentation / Conformance
						Yes	No	N/A	
AU			Control points in this module are applicable to all registered units.						
AU.1		📄	Legality	Registered organizations and associated units must operate within the EU and in accordance with the applicable legislation. Registered organizations are legal entities within the post farm-gate cotton supply chain, from processing and trading to manufacturing and retailing.					
AU.1	1		Is there documentation available that clearly demonstrates the legal operation within the EU and the scope of activities?	The unit's administration manager must upload an operating license in force issued by a member state in accordance with EU and national legislation, or a valid site and scope specific ISO series certificate.	Knock-out			✕	Upload Document
AU.1	2		Is there documentation available that clearly demonstrates the implementation of the required fire safety standards?	The unit's administration manager must upload a fire safety inspection certificate in force issued by a member state in accordance with EU and national legislation, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Knock-out			✕	Upload Document
AU.1	3		Is there documentation available that clearly demonstrates the implementation of the required environmental standards?	The unit's administration manager must upload a valid environmental impact assessment approval issued by a member state in accordance with EU and national legislation, or a valid site specific ISO 14001:2015 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Knock-out			✕	Upload Document
AU.1	4		Is there documentation available that clearly demonstrates the identification and assessment of potential risks related to the health and safety of employees and the implementation of the appropriate preventive measures?	The unit's administration manager must upload an updated employee's health and safety risk assessment signed by a competent member of the staff or an external certified service provider, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Knock-out			✕	Upload Document

AU.2		📄 Management & Structure	Management is the process of planning and organising resources and activities, whereas structure determines roles and responsibilities.						
AU.2	1		Has the required contact information of the unit's administration, production and sales manager been recorded in the appropriate section of the platform?	The unit's administration manager must record the full name, email and work phone of individuals responsible for administration, production and sales management, in the contacts section of the unit's profile.	Knock-out			✕	Link
AU.2	2		Is there documentation available that clearly demonstrates the appropriate structure for the implementation of the management system?	The administration manager has to upload the unit's organisational chart, in which key roles and reporting lines are demonstrated, signed by the organization's legal representative.	Major			✕	Upload Document
AU.2	3		Is there documentation available that clearly demonstrates the sufficient human resources for the implementation of the management system?	The administration manager should upload the unit's list of personnel, in which duties and responsibilities are demonstrated, signed by the organization's legal representative.	Minor			✕	Upload Document
AU.2	4		Is there documentation available that clearly demonstrates the appointment or the contractual agreement of the unit's certified safety technician?	If required by the applicable legal framework, the unit's administration manager must upload the appointment decision of an internal safety technician signed by the organization's legal representative, or a contract with an external safety technician signed by the contractor and the organization's legal representative.	Knock-out				Upload Document
AU.2	5		Is there documentation available that clearly demonstrates the contractual agreement of the organization's occupational physician?	If required by the applicable legal framework, the unit's administration manager must upload a contract with an occupational physician signed by the contractor and the organization's legal representative.	Knock-out				Upload Document
AU.2	6		Is there documentation available that clearly demonstrates the appointment of the unit's fire safety and first aid teams?	The administration manager has to upload the unit's fire safety and first aid team appointment decisions, signed by the organization's legal representative.	Major			✕	Upload Document
AU.3		📄 Competency & Training	Competence is the ability to demonstrate use of education and expertise, whereas training is the process of increasing knowledge and skills.						

AU.3	1		Is there documentation available that clearly demonstrates the competency of the production manager to implement the management system?	The unit's production manager has to have at least a post-high school diploma or sufficient training related to the scope and copies of degrees or certificates have to be uploaded by the unit's administration manager.	Major		✕	Upload Document
AU.3	2		Have the administration, production and sales manager attended the mandatory EUCotton webinar?	The unit's administration, production and sales manager manager must attend the mandatory webinar and successfully complete the online examination. The unit's administration manager must then upload the webinar certificates.	Knock-out		✕	Upload Document
AU.3	3		Is there documentation available that clearly demonstrates personnel training on health and safety issues?	The unit's administration manager should upload recent training records of the personnel on health and safety issues, signed by a competent member of the staff or a certified external service provider, or a valid site specific 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report.	Minor		✕	Upload Document
AU.3	4		Is there documentation available that clearly demonstrates the training of the personnel on the implementation of the management system?	The unit's administration manager should upload recent training records of the personnel on general requirements and management system rules, signed and dated by the unit's production manager.	Minor		✕	Upload Document
AU.4		📄 Sustainability		Sustainable processing and manufacturing is achieved through economically-sound processes that minimize negative environmental impacts while conserving energy and natural resources.				
AU.4	1		Is there documentation available that clearly demonstrates the annual recording of gas emissions?	The unit's production manager has to upload the annual gas emissions records, or a valid site specific ISO 14001:2015 certificate, or a valid site specific Greenhouse Gas (GHG) Protocol report, or another site and scope specific valid certificate.	Major		✕	Upload Document
AU.4	2		Is there documentation available that clearly demonstrates the quantification of the carbon footprint of products?	The unit's production manager has to upload the annual carbon footprint records, or a valid site specific ISO 14067/14064:2018 certificate, or another site and scope specific valid certificate.	Major		✕	Upload Document

AU.4	3		Is there documentation available that clearly demonstrates the annual recording of energy consumption?	The unit's production manager should upload the annual energy consumption records, or a valid site specific ISO 50001:2018 certificate, or another site and scope specific valid certificate.	Minor			╳	Upload Document
AU.4	4		Is there documentation available that clearly demonstrates the annual recording of waste disposal and recycling?	The unit's administration manager should upload the annual waste disposal and recycling records, or a valid site specific ISO 14001:2015 certificate, or another site and scope specific valid certificate.	Minor			╳	Upload Document
AU.5		📄 Ethical Trade		Ethical trade refers to the responsibility of organizations to improve working conditions and employment practices.					
AU.5	1		Is there documentation available that clearly demonstrates the commitment of the organization to implement ethical trading practicies?	The unit's administration manager should upload the organization's Code of Conduct signed and dated by the legal representative, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or another site and scope specific valid certificate.	Minor			╳	Upload Document
AU.6		📄 Social Accountability		Social accountability refers to the responsibility of organizations to act ethically and consider the impact of their actions on stakeholders, employees and the public.					
AU.6	1		Is there documentation available that clearly demonstrates the implemented measures for the improvement of the organization's social performance?	The unit's administration manager has to upload the organization's Social Accountability Policy signed and dated by the legal representative, or a valid site specific ISO 45001:2018 certificate, or a valid site specific Global Organic Textile Standard (GOTS) certificate, or a valid Sedex Members Ethical Trade Audit (SMETA) report, or a valid Social Accountability: SA8000 certificate,or another site and scope specific valid certificate.	Major			╳	Upload Document
AU.7		📄 Transparency		Transparency is the ability to demonstrate high level information to stakeholders and regulators throughout the supply chain.					

AU.7	1		Is there documentation available which clearly demonstrates the validity of transaction data recorded in the platform?	The unit's administration manager must upload at least three (3) transaction documents -purchase receipts or delivery notes or invoices- with reference to raw materials, suppliers, processing or manufacturing units and associated certifications.	Knock-out		✕	Upload Document
AU.8		📄	Traceability	The ability to track finished products back to trading, processing or manufacturing units and forward to immediate customers, with the aid of specific records.				
AU.8	1		Is there documentation available which clearly demonstrates the received, stored and sold volume of both EUCotton and non-EUCotton products?	The unit's administration manager must upload an annual mass balance exercise to demonstrate that outputs do not exceed inputs -according to rates of return in processing and manufacturing units- carried out per product and signed by the unit's production manager.	Knock-out		✕	Upload Document
AU.9		📄	Segregation	Segregation refers to the process of physically separating conforming from non-conforming products throughout the supply chain.				
AU.9	1		Is there documentation available which clearly demonstrates the segregation of EUCotton products from non-EUCotton products?	The unit's administration manager must upload images or videos of storage areas -raw materials and finished products- that demostrate the segregation of EUCotton from non-EUCotton products in the media section of the unit's profile.	Knock-out		✕	Link
AU.10		📄	Subcontractors	Subcontractors are approved organizations for purposes of processing or manufacturing that have to register in the platform according to scope and conduct the required assessments and audits.				
AU.10	1		Has the required information of processing or manufacturing subcontractors been recorded in the appropriate section of the platform?	The unit's administration manager must record the company title, VAT number, email, work phone and scope in the subcontractors section of the unit's profile.	Knock-out			Link

AU.10	2		Is there documentation available that clearly demonstrates the contractual agreement between the subcontractor and the organization?	The unit's administration manager should upload a site specific contract between the subcontractor and the organization, signed by both parties.	Minor				Upload Document
AU.11		📄	Assessments & Audits	*Assessment refers to the organization's ability to implement the management system, while audit refers to the organization's conformance with specific rules.*					
AU.11	1		Has at least one internal (or self) assessment of the unit been conducted annually by the administration manager?	The unit's administration manager must conduct and submit the annual internal assessment to a designated independent assessor, via the assessments section of the unit's profile.	Knock-out			✕	Link
AU.11	2		Has at least one external remote assessment of the unit been conducted annually by an independent assessor, included in the certified assessors list of the platform?	The independent assessor must revise and approve or reject the unit's submitted internal assessment and, as a result, the unit's assessment status in the organization's business card is updated accordingly.	Knock-out			✕	
AU.11	3		Has at least one on-site audit of the unit been conducted within a three (3) year cycle by a certification body's lead auditor, included in the certified auditors list of the platform?	Based on the lead auditor's report, the certification body must make a certification decision after closure of any outstanding non-conformances and, as a result, the unit's certification status in the organization's business card is updated accordingly.	Knock-out				
AU.11	4		Is there documentation available which clearly demonstrates that in case non-conformances are detected during on-site audit, the required corrective actions must be performed within the specified time period of twenty (20) calendar days?	The unit's administration manager must upload the corrective actions documentation in the media section of the unit's profile no later than 20 days after the end of the on-site audit.	Knock-out				Link

AU.12		📄 Trademark Use	Only assessed registered organizations may use the EUCOTTON® trademark on their products. In order to use the trademark organizations must validate that: (a) the EUCotton content percentage in products made purely from cotton is 100% and (b) the EUCotton content percentage in products made from cotton and other materials is at least 50%. Purchase of EUCotton products (e.g. lint or yarn) does not automatically qualify the purchaser to use the EUCOTTON® trademark.					
AU.12	1	Is there documentation available which clearly demonstrates the proper use of the EUCOTTON® trademark according to general requirements?	The administration manager must upload in the media section of the unit's profile, images or videos of finished products on which the EUCOTTON® trademark, the lot number and relevant data are clearly demonstrated.	Knock-out				Link

No			Control Points	Compliance Criteria	Level	Complies Yes	No	N/A	Documentation/ Conformance
KU			Control points in this module are applicable to all registered knitting units.						
KU.1		📄	Suppliers	Suppliers of knitting units must be registered spinning or dyeing or trading oganizations that operate in accordance with the rules of general requirements.					
KU.1	1		Is there documentation available which clearly demonstrates the contractual agreement between suppliers and the knitting unit?	The unit's administration manager must upload a valid contract signed by the unit's supplier and the organization's legal representative.	Knock-out			X	Upload Document
KU.2		📄	Quality Assurance	The produced knitted fabric must be categorized and quality assurance processes must be implemented to increase the organization's reliability.					
KU.2	1		Has the knitting unit recorded the composition of the produced knitted fabric with reference to the content of cotton and other materials?	The unit's production manager must record the content percentage (%) of cotton and other materials for each lot of produced knitted fabric via the features section of events. The content percentage of cotton must be equal to or more than 50%, while the total content percentage of other materials (e.g. acrylic or polyester) must be equal to or less than 50%.	Knock-out			X	Link
KU.2	2		Has the knitting unit categorized the produced knitted fabric into knit type categories?	The unit's production manager has to categorized each lot of produced knitted fabric into plain, rib, purl, pattern, double knits, tricot, raschel or milanese, via the platform's events section.	Major			X	Link
KU.2	3		Has the knitting unit attributed specific quality features to the produced knitted fabric?	The unit's production manager should attribute specific quality features to each lot of produced knitted fabric (e.g. degree of brilliance, thickness or hue) via the platform's events section.	Minor			X	Link